

FIG 1

226

BARTERER ORDER				
HAVE	QTY	VALUE	WANT	VALUE
DD	45	20	AET	90

228

230

232

234

236

200

216

BARTER DATABASE					
TRANSACTION	HAVE	QTY	VALUE	WANT	VALUE
2221	AET	100	MKT	OMX	MKT 29
4890	AET*	75	85	DD*	16
6034	AET*	100	90	DD	MKT
5564	DD	300	17	AET	74
9021	DD	110	MKT	RHAT	MKT
3752	OMX*	30	41	RHAT*	25
1657	OMX*	35	45	DD	20
3360	OMX*	40	MKT	RHAT	18
8203	RHAT	50	19	AET	MKT
0077	RHAT*	20	18	AET	MKT
1779	RHAT	15	MKT	OMX	29

← 204

← 206

← 208

← 210

← 212

← 214

← 216

← 218

← 220

← 222

← 224

FIG. 2

300 ↓

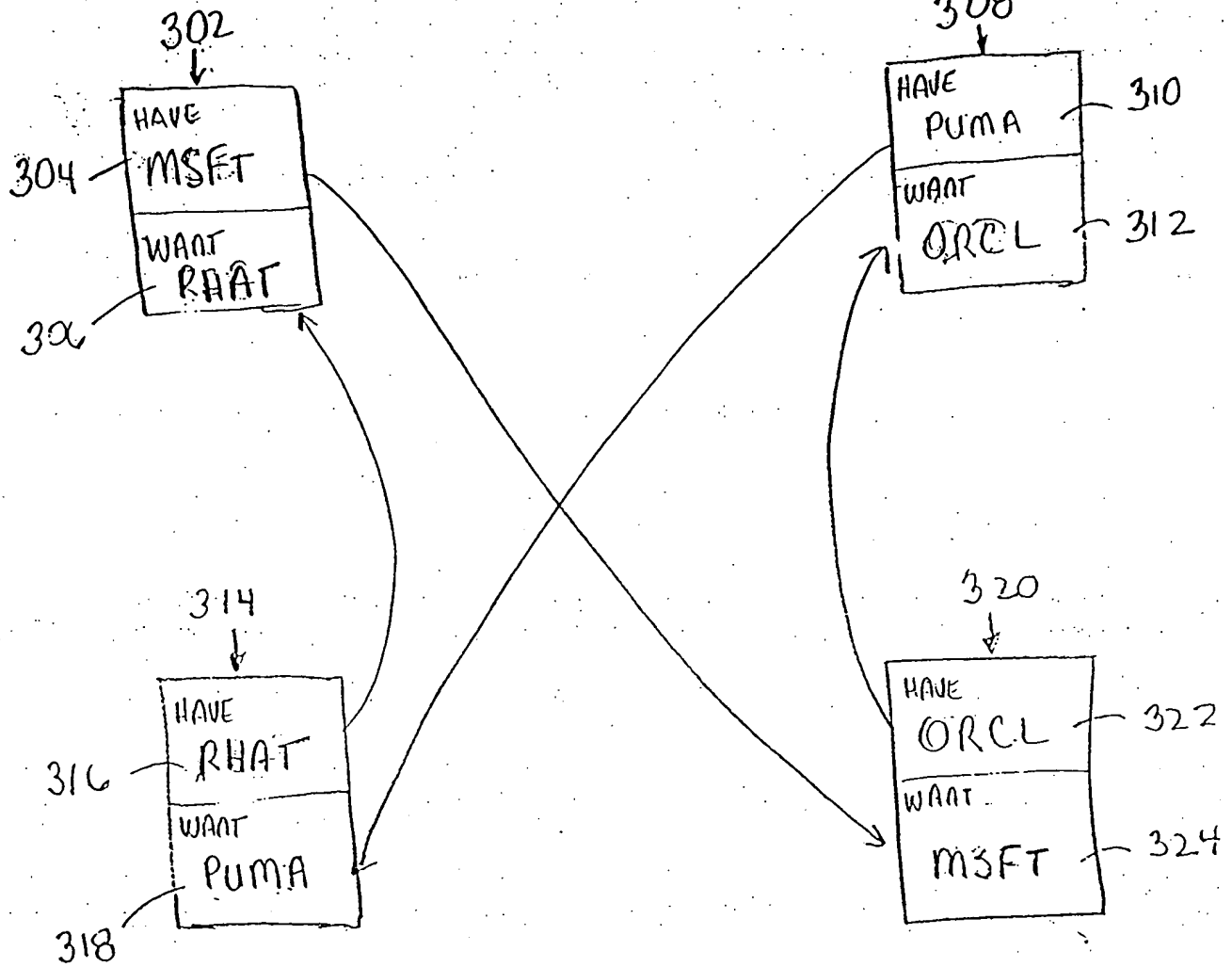


FIG. 3

400

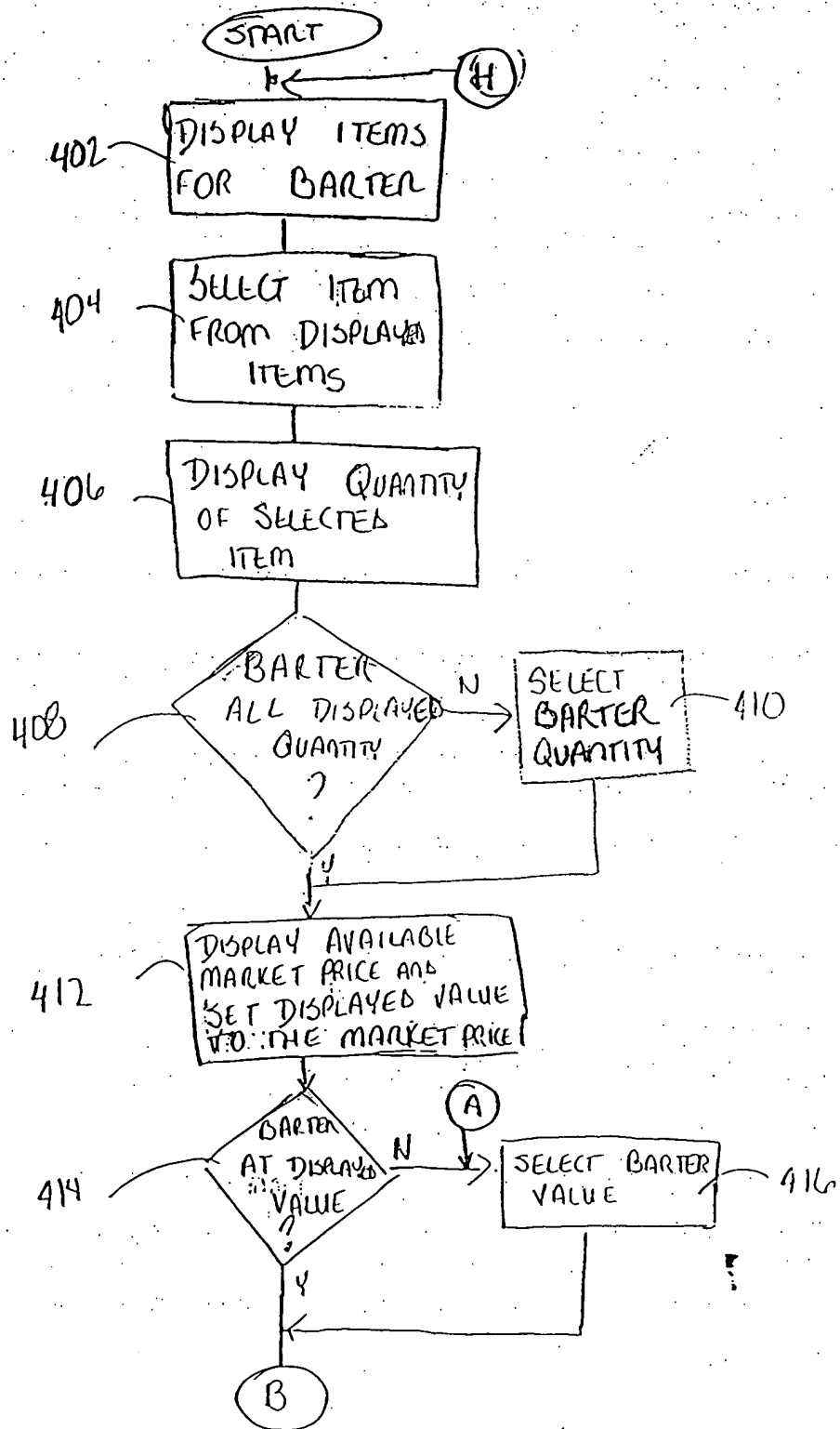


FIG. 4A

400

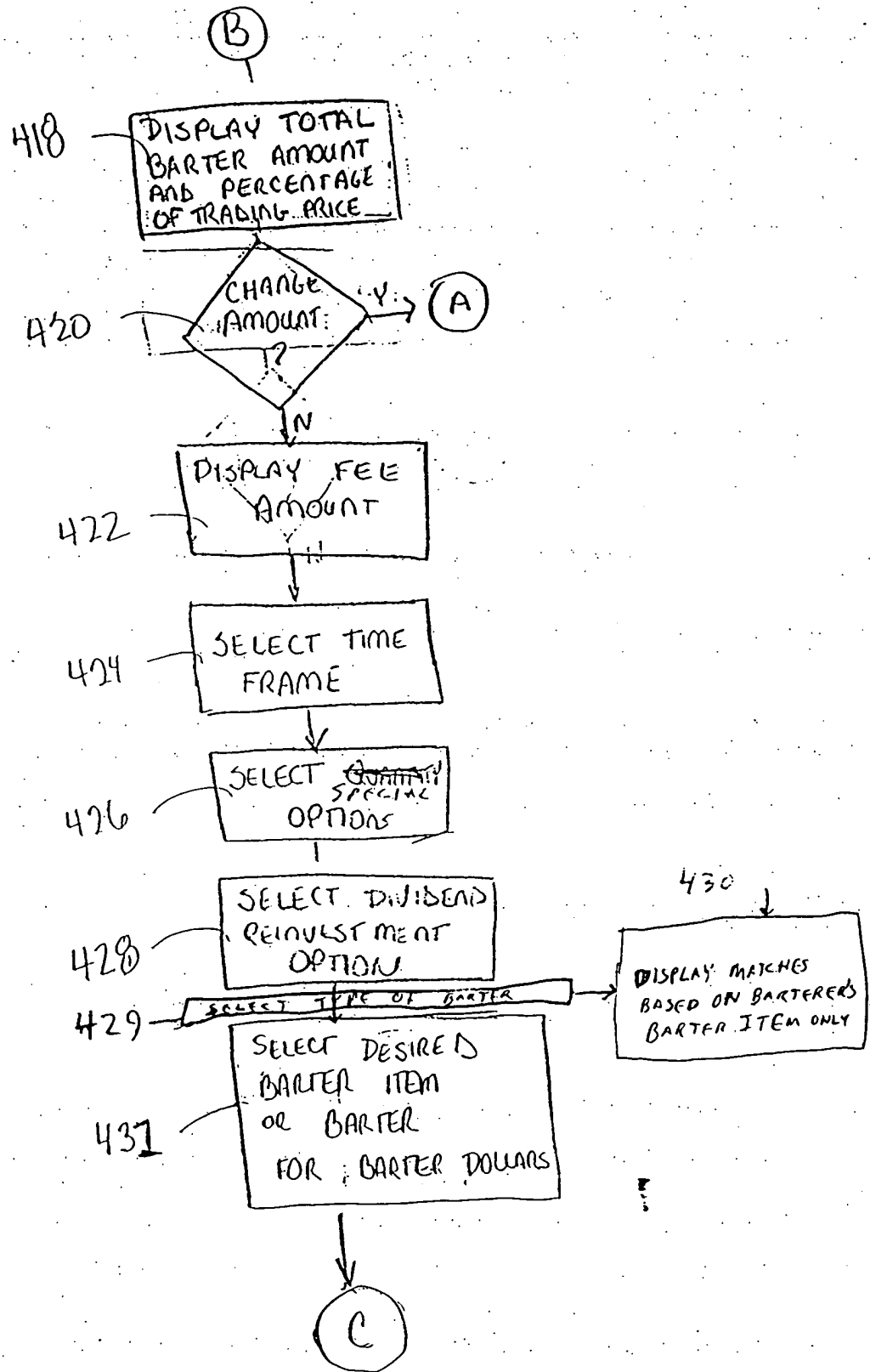
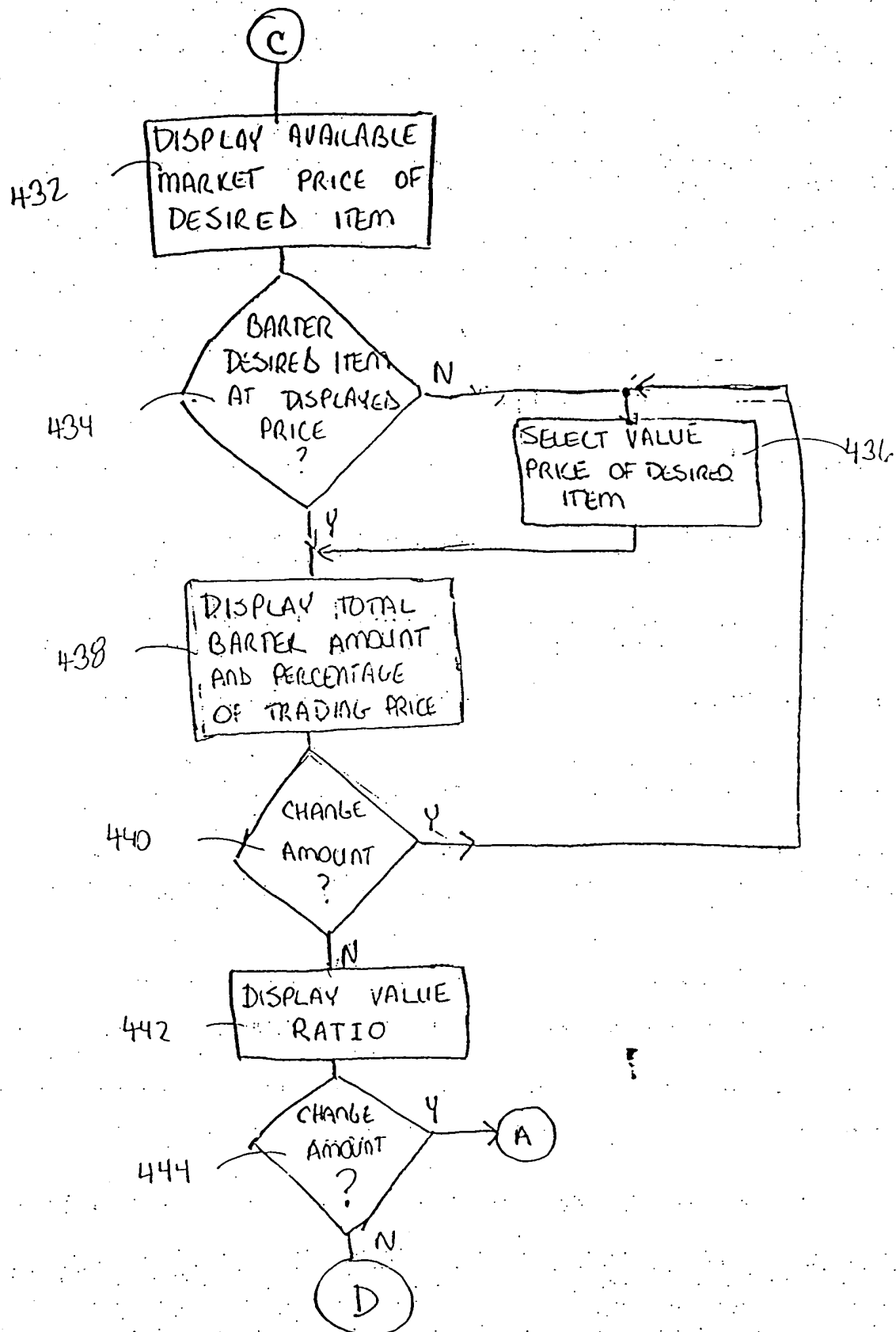
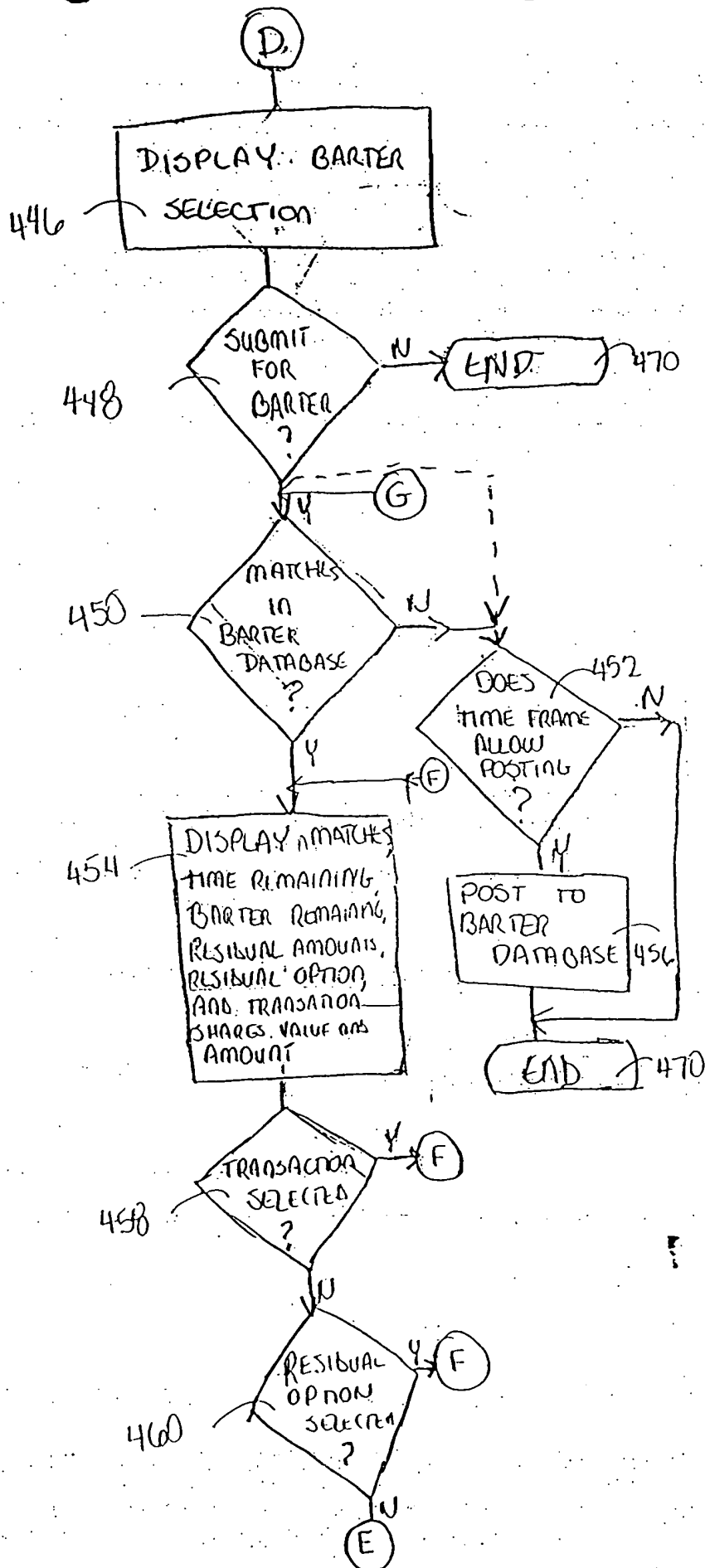


FIG. 4B

400



400



FILE
45

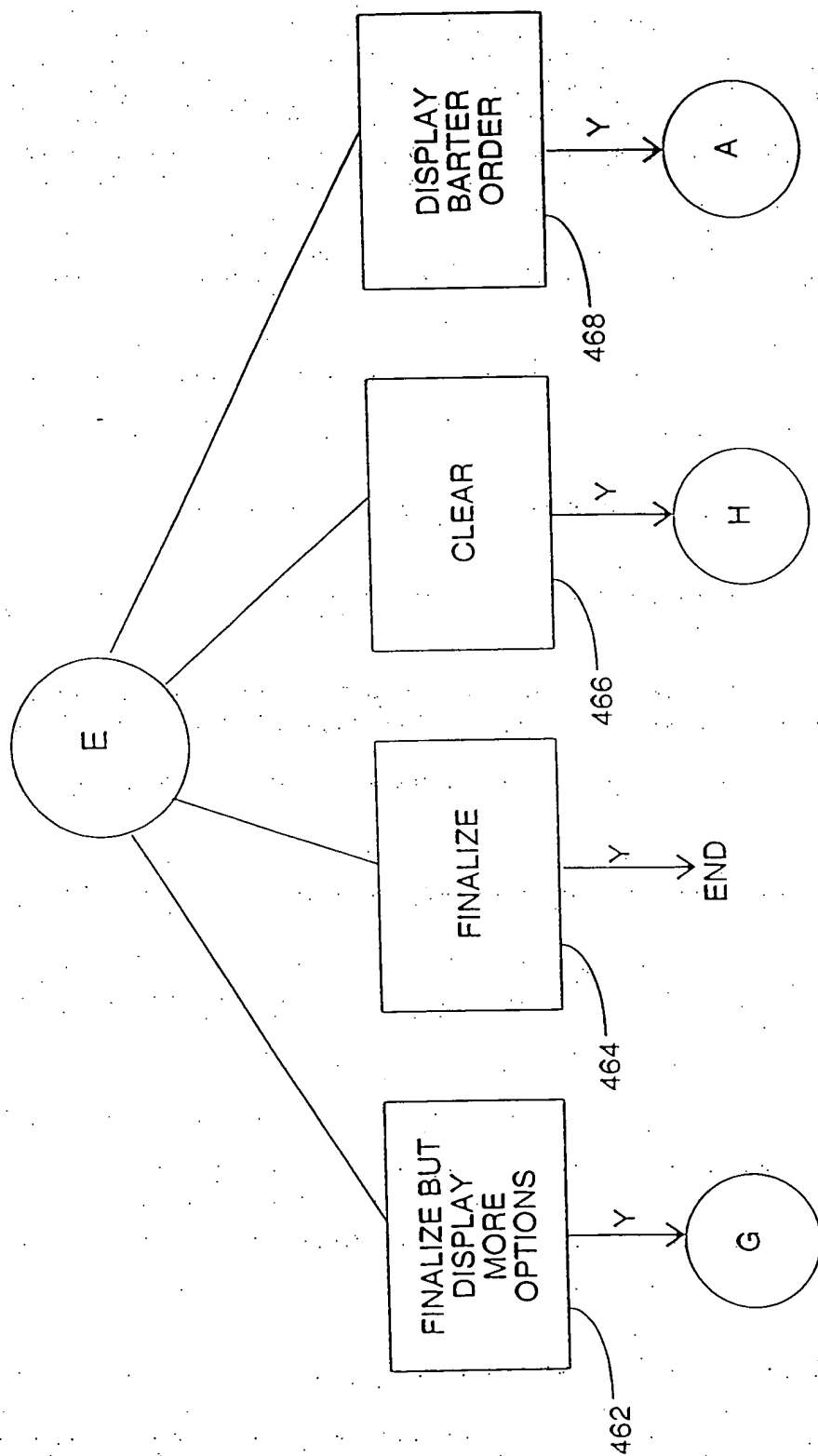


FIGURE 4E

500

BARTER SECURITY

ITEMS

503

502

DD	▼
DD	600
PFE* 01/05/2001 - 01/05/2001	100
PUMA	250
DD* 11/10/2000 - -/-/-	200
CASH\$	
WEB BARTER \$	

501

504

ITEMS BY CLASS

STOCK SYMBOL ▼
HIMMELSTEIN OPTION SYMBOL ▼
CASH XXXX ▼
WEB BARTER DOLLARS ▼

507

Quantity

504

600

505

506

MARKET DATA FOR DD

Current stock trading price as of 02/15/1999 at time 10:45 am is \$ 75.90

FIGURE 5A

508 Value \$

per share,
web barter dollars,
cash

OR

510 If stock or Himmelstein Option,
☐ barter at current stock trading price

511

\$

OR

%

512 ☐ plus
514 Value

\$

OR

516 Percent

%

518 ☐ minus
Value

\$

OR

519

Percent

517

519

%

520 → Total anticipated barter amount: _____

Note: Your value price is "X" percentage/dollar amount less/more than (or equal to) stock trading price.

522

523

FIGURE 5B

524 FEE AMOUNT per share is anticipated to be _____, if direct barter (total anticipated fee _____);

526 FEE AMOUNT per share is anticipated to be _____, if web barter (total anticipated fee _____);

528 → Timing

day only ▼
day only
good until canceled
fill or kill
immediate or cancel
only view current posts

530 Special Conditions (optional)

☐ minimum quantity

☐ do not reduce

☐ all or none

☐ deferred settlement date before _____ date after _____

531 ☐ Other security, if any, to be provided at settlement _____

FIGURE 5C

532 → Dividend Reinvestment (for new stock)

- ☐ yes, new stock must have a dividend reinvestment program
- ☐ no, new stock must not have had a dividend reinvestment program
- ☐ accept new stock with or without a dividend reinvestment program

534 → Type of Barter

- ☐ direct barter only (fee amount anticipated to be _____)
- ☐ if direct barter not available, then barter with web site (fee amount anticipated to be _____)

Desired Item

Security

AET ▼

Industry

Health Care ▼

535 →

536

537 →

- ☐ HIMMELSTEIN OPTION FOR STOCK
- ☐ STOCK
- ☐ Cash
- ☐ WEB BARTER DOLLARS

MARKET DATA FOR AET

538 → Current stock trading price as of 02/15/1999 at time 10:45am is 47.50.

FIGURE 5D

Value 540
\$ per share

OR 541
☐ barter at current stock trading price
 IF STOCK OR HIMMELSTEIN OPTION,
☐ plus

Value \$

OR

Percent %

☐ minus

Value \$

OR

Percent %

FIGURE 5E

542→ Your total barter amount is (anticipated to be) _____ for new stock

Note: your value price is "X" percentage/dollar amount less/more than current
stock trading price.

544→ Note: Ratio of your stock to stock trading price divided by new stock to stock trading
price is "XX.XX"

546→ System will re-list order and list terms and conditions and ask if you want to continue
must click on the continue/agree icon

548

549

FIGURE 5F

AVAILABLE BARTER ORDERS

Note: Click on Order # if choose to do barter

IF MORE THAN ONE SELECTION, CLICK ON SEQUENTIAL PREFERENCE

Order #	Symbol	Price trading price	Ratio to stock	Value Ratio	# of Shares	Barter Amount	Price fluctuate with stock trading Price	Special Conditions	Timing	Divid
1) 1000526	IBM	115	1.00	1.0200	120	\$13,800.00	Yes	None	1	Yes
2) 9843566	IBM	115 _{1/16}	.9995	1.0194	40	\$4,602.50	No	Minimum 40	2	No
3) 1043442	IBM	115 _{1/16}	.9941	1.0139	100	\$11,568.75	No	After 2/01/00 Before Indefinite Security at Settlement = 0	1	Yes

ORDER#	# OF SHARES GIVING	PRICE OF GIVING ITEM	# OF SHARES RECEIVING	PRICE OF RECEIVING ITEM	BARTER AMOUNT
1)					
2)					
3)					
4)					
5)					
TO					

AMOUNT OF BARTER LEFT	TIME REMAINING
RESIDUAL AMOUNT LEFT OVER	<input type="checkbox"/> HOLD IN ESCROW <input type="checkbox"/> DENATE IT <input type="checkbox"/> PURCHASE OTHER STOCK

CLEAR

CHANGE BARTER ORDER

FINALIZE TRANSACTION

FINALIZE TRANSACTION BUT DISPLAY MORE BARTER OPTIONS

FIG. 6

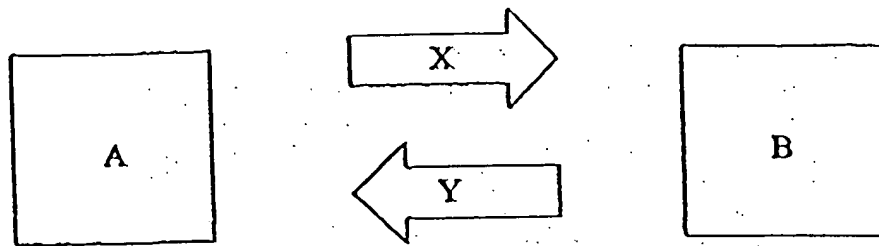


Fig. 7A

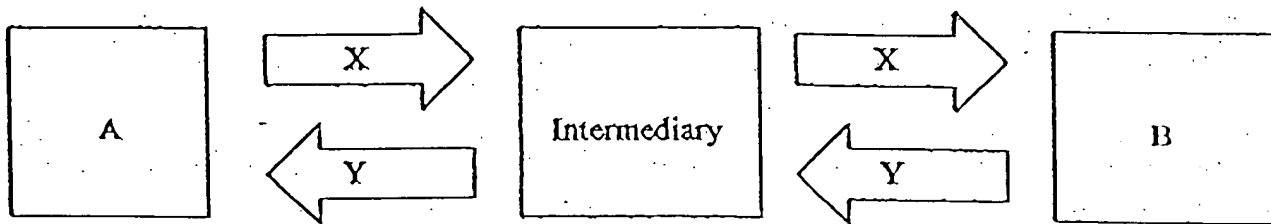


Fig. 7B

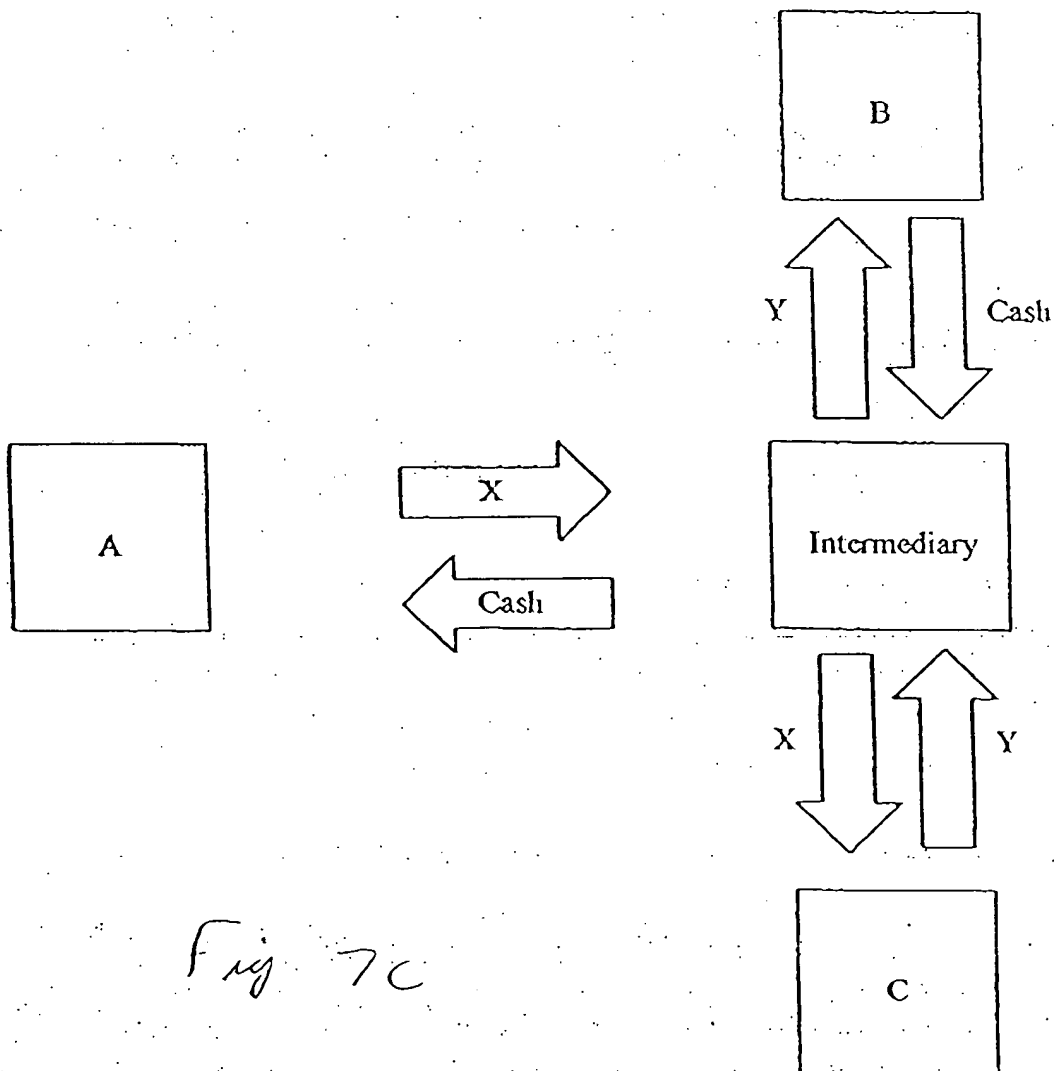
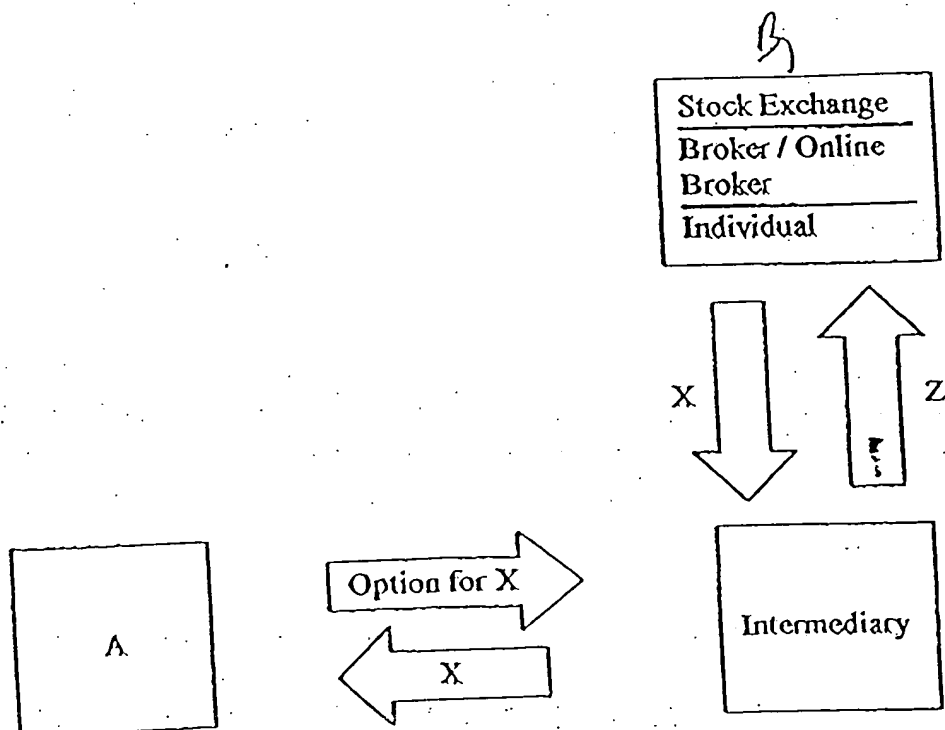


Fig 7C


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graph TD
    A[A] -- X --> B[B]
    B -- Y --> C[C]
    C -- Cash --> A
  
```

Fig. 7D



$F_{16} \cdot 7E$

800

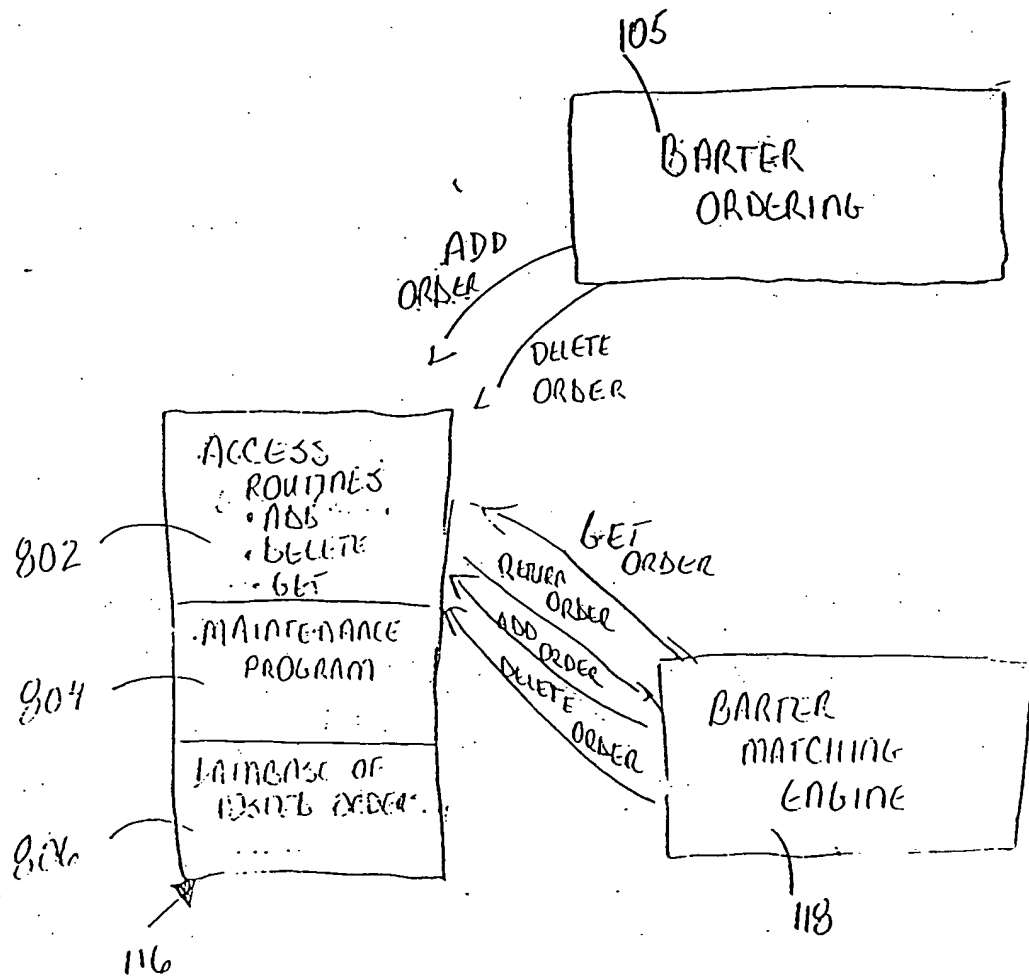


FIG. 8

Class/ Type	Item Identification	Amount	Market Value	Bid/Strike Price	Expiration Settlement Date	Barter Value	Interest Rate/ Current Yield	Maturity Date	Other	Barter Settlement Date Open/Close
1 Stock	AOL	100 Shares	\$ 10,000.00	N/A	N/A	\$ 10,750.00	N/A	N/A	Dividend?	01-DEC-91/01-DEC-91
2 Currency	Canadian \$	5,000 CINS	\$ 3,453.04	N/A	N/A	\$ 3,448.28	N/A	N/A	N/A	02-JAN-00/02-JAN-00
3 Bonds	Mirage	25,000.00	\$ 24,750.00	N/A	N/A	\$ 24,900.00	8.50%	1-Mar-15	Per. Discount, Premium	20-DEC-91/20-DEC-91
4 Options	IBM	12 Options	\$ 122,400.00	\$ 102.00	30-Nov-99	\$ 12,840.00	N/A	N/A	N/A	1-OCT-99/1-OCT-99
5 T-Bills	US Government	\$ 10,000.00	\$ 10,000.00	\$ 101.00	N/A	\$ 9,800.00	4.93%	15-Jun-00	N/A	01-DEC-91/01-DEC-91
6 Futures	Gold	10 troy oz	\$ 3,157.50	N/A	30-Nov-99	\$ 3,000.00	N/A	N/A	N/A	01-DEC-91/01-DEC-91
7 Annuity	TransAmerica	\$ 100,000.00	\$ 100,000.00	N/A	N/A	\$ 105,000.00	10.50%	10-Jun-06	Fixed or Variable Interest?	01-JAN-92/01-JAN-92
8 CD's	SanWest Bank	\$ 50,000.00	\$ 50,000.00	N/A	N/A	\$ 49,100.00	4.14%	10-May-00	Compound Interest?	11-DEC-91/11-DEC-91
9 Web Barter Dollars	IOU	\$ 25,000.00	\$ 25,000.00	A	B	\$ 24,500.00	C	D	Fixed or Variable Interest?	01-DEC-91/01-DEC-91

A = Could have Bid/Strike Price if Web Barter \$ are from Options or Government Bonds+CD depending on terms and conditions in barter transactions

B = Could have Expiration Date if Web Barter \$ are from Options or Futures depending on terms and conditions in barter transactions

C = Could have Yield or Interest Rate if Web Barter \$ are from Bonds, Government

D = Could have Maturity Date if Web Barter \$ are from Bonds, Government Bonds, Annuities or CD's depending on terms and conditions in barter transactions

Fig. 9B